This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):



- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5: **WO 90/15673** (11) International Publication Number: B05D 3/12, 3/06, B29C 43/22 A1 (43) International Publication Date: 27 December 1990 (27.12.90) . B05C 9/12

PCT/US89/02541 (21) International Application Number: (22) International Filing Date: 12 June 1989 (12.06.89)

(71) Applicant: GENERAL ELECTRIC COMPANY [US/ US]; 1 River Road, Schenectady, NY 12345 (US).

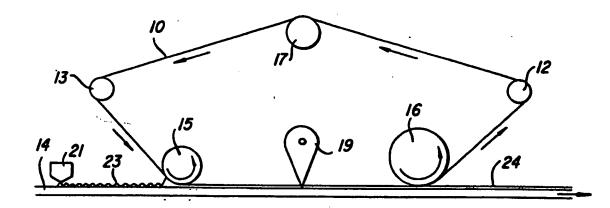
(72) Inventors: KERR, Stuart, Robert, III; 7601 Meadowview Drive, Evansville, IN 47710 (US). CROUCH, Earl, Thomas; 609 Mels Drive, Evansville, IN 47712 (US).

(74) Agents: KING, Arthur, M.; International Patent Opera-tion, General Electric Company, 1285 Boston Avenue, Bridgeport, CT 06602 (US) et al.

(81) Designated States: DE (European patent)*, FR (European patent), GB (European patent), IT (European patent), JP, NL (European patent).

Published With international search report.

(54) Title: A LAMINAR IMPRESSOR FOR COATING FLAT SUBSTRATES



(57) Abstract

A laminar impressor for coating flat substrates, such as thermoplastic sheets and films, with radiation-curable coating compositions. The laminar flexible impressor comprises a non-adhering impressor belt (10) which is impressed onto the uncured coating on the surface of the flat substrate (14). The coating is cured by irradiation while the impressor belt (10) is still in contact with the coating. The impressor belt is removed from the cured coating (24), leaving a firmly adhered coating into which the surface texture of the laminar belt (10) has been faithfully transferred.

DESIGNATIONS OF "DE"

Until further notice, any designation of "DE" in any international application whose international filing date is prior to October 3, 1990, shall have effect in the territory of the Federal Republic of Germany with the exception of the territory of the former German Democratic Republic.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MC	Monaco
AU	Australia	FI	Finland :	MG	Madagascar
BB	Barbados	FR	France	ML	Mali
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Fasso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GR	Greece	NL.	Netherlands
BJ	Benin	HU	Hungary	NO	Norway
BR	Brazil	rr	Italy	RO	Romania
CA	Canada	JP	Japan	SD	Sudan
Œ	Central African Republic	KP	Democratic People's Republic	SE	Sweden
Œ	Congo		of Korca	SN	Scoegal
CH	Switzerland	KR	Republic of Korea	รบ	Soviet Union
CM	Cameroon	Li	Liechtenstein	TD	Chad
Œ	Germany, Federal Republic of	LK	Sri Lanka	TC	Togo
DK	Denmark	LU	Luxembourg	us	United States of America

10

15

20

25

A LAMINAR IMPRESSOR FOR COATING PLAT SUBSTRATES

Background of the Invention

This invention relates to an apparatus and method for laminating flat substrates. More particularly, the invention relates to an apparatus and method for applying laminar radiation-cured polymeric coatings to thermoplastic sheet and film.

Thermoplastic substrates, such as polycarbonate sheet and film, often are coated with polymeric coatings. These coatings have various protective and decorative purposes, such as imparting abrasion and chemical resistance, removing or masking surface imperfections, and providing a specialty surface. Such specialty surfaces .range from glossy, smooth surfaces to matte or textured surfaces. Heretofore, if a textured surface coating were desired, it usually would be formed by impressing and thermoforming the cured surfaces with a heated roller or calendar. This procedure can only be used with coated surfaces which have at least some thermoplastic character. Many of the so-called "hardcoats," used to protect thermoplastics against abrasions, are highly cross-linked thermoset resins. Attempts to texture the surfaces of these coatings by thermoforming often results in rupture or separation of the coating, as it does not have adequate elongation characteristics.

Embossing the coated surfaces prior to curing has also been proposed. The radiation-curable acrylic coatings are typically viscous liquids prior to curing,

. 5

10

15

20

25

30

therefore, it is difficult to maintain the dimensional stability of the coating when the surface texture is formed prior to curing.

A need exists for an efficient procedure for applying surface-textured, hard, abrasion and chemical resistant coatings to thermoplastic sheets and film.

Summary of the Invention

In accordance with the present invention, a laminar impressor for the application of radiation-curable coatings to flat substrates comprises:

an impressor belt of non-adhering, flexible film; said belt having a surface texture which is the mirror image of desired surface texture on the flat substrate being coated;

means for conveying the flat substrate in a linear direction in the plane of its surface being coated:

a rotatable, cylindrical nip roll in closely spaced proximity to the moving surface of the flat substrate, the axis of rotation of the nip roll being substantially parallel to the surface of the flat substrate and substantially perpendicular to the direction of movement of the flat substrate, and the linear speed of the surface of the nip roll being substantially the same as the speed of movement of the flat substrate;

means for feeding the impressor belt between the nip roll and the surface of the flat substrate and biasing the impressor belt against the nip roll, such that it remains in contact with the nip roll and is closely spaced from the surface of the flat substrate; the feeding of said impressor belt being in the direction

20

25

30

of and at substantially the same speed as the speed of movement of the flat substrate;

means for applying a controlled amount of a radiation-curable polymeric coating composition to the surface of the flat substrate or the surface of the impressor belt upstream from the nip roll, such that, downstream of the nip roll, the coating composition is sandwiched between the surface of the flat substrate and the impressor belt;

means for directing radiation to the radiationcurable polymeric coating composition downstream from the
nip roll, thereby substantially curing the coating
composition to a solid state while the impressor belt is
still in contact with the coating composition; and
thereafter

removing the impressor belt, leaving the radiation-cured coating firmly adhered to the flat substrate and leaving an impression of the surface of the impressor belt on the surface of the radiation-cured coating.

The laminar impressor of this invention permits the application of a very uniform thickness of a surface coating to a flat substrate, such as a thermoplastic sheet or film. The apparatus is useful for accurately and efficiently forming a wide variety of surface textures to the coatings. The apparatus is particularly suited to large scale automated coating operations.

Brief Description of the Drawings

Figure 1 is a schematic diagram of a laminar impressor according to the present invention, which employs an endless impressor belt.

Figure 2 is a schematic diagram of a laminar impressor according to the present invention, wherein the

WO 90/15673 PCT/US89/02541

5

10

25

30

4

impressor belt is stripped from the coating for reuse or discard.

Figure 3 is a schematic diagram of a laminar impressor according to the present invention, wherein the impressor belt is left on the cured coating as a masking film, for subsequent removal by the user.

Detailed Description of the Invention

The laminar impressor of this invention employs a non-adhering impressor belt for impressing a desired surface texture into an uncured coating. The coating is radiation-cured while the impressor belt is still in contact with the coating, thereby insuring that the surface texture of the belt is faithfully transferred to the coating.

The impressor belt may be fabricated from a wide variety of materials. The belt is non-adhering, which, as used herein, means that the impressor belt can be stripped from the cured coating without removing the coating from the coated substrate. The impressor belt is constructed from a material which can be formed with the desired surface texture, and has sufficient surface resiliency that the texture will be transferred to the uncured coating composition.

In general, polymeric films, such as polyester and polyolefin films, have been found to be well-suited for the construction of impressor belts. These films can be embossed with a wide variety of surface textures, using a heated metal roller. Polyester and polyolefin films having leather and fabric textures, matte surfaces, smooth glossy surfaces, and many others are presently available commercially. Non-polymeric materials, such as thin-gauge metal, can also be used. As used herein, the term "texture" incudes surfaces ranging from glossy to

10

15

20

25

30

highly formed. By employing a surface treatment or a release coating, materials that might otherwise adhere to the cured coating may be used.

As will be discussed below, in a preferred embodiment, the coating is cured by irradiating it through the impressor belt. Therefore, the impressor belt preferably is transparent to the radiation used for curing the coating. Such coatings are typically cured with ultraviolet ("UV") light or electron beam ("EB") radiation. Polyester and polyolefin films are highly transparent to ultraviolet radiation, which is another reason for preferring these materials for the construction of the impressor belts. A wide range of materials can be used with EB radiation, since the degree of transparency to EB is related only to the mass of the material.

The compositions used for coating the substrates may be any of the well-known, radiation-curable polymeric coatings. Such coatings usually are based on acrylic resin systems, although other polymer systems are also used. One example of such a coating composition is described by James E. Moore, et al. in U.S. patent 4,107,391.

The coating advantageously has a viscosity in the uncured state such that it readily flows into the cavities and depressions of the impressor belt. The viscosity is preferably great enough to support the impressor belt and prevent flow-out of the coating composition prior to cure.

Virtually any flat substrate can be coated using the laminar impressor of this invention. Such substrates include thermoplastic substrates, metallic sheets, plywood, particle board, and certain fabrics, to name but a few. Preferred substrates include thermoplastic sheets

10

15

20

25

30

and films. Decorative and protective coatings for these materials greatly increase the scope of their application. Particularly preferred substrates are polycarbonate sheets and films.

The laminar impressor of this invention may take a variety of configurations. Referring to the drawings, Figure 1 shows an embodiment of the invention which employs an endless laminar impressor belt. The apparatus has a conveyer (not shown) for conveying a flat substrate 14 in a horizontal direction. Nip roll 15 is positioned above the surface of the flat substrate, and provids a controlled gap for the coating composition. Nip roll 15 is usually surfaced with a resilient material, such as rubber, and the thickness of the gap for the coating composition is controlled by the pressure of the nip roll against the substrate and the viscosity of the coating composition. Nip roll 15 is cylindrical and rotates in the direction of, and at substantially the same speed as, the movement of the flat substrate 14. Impressor belt 10 is fed between nip roll 15 and flat substrate 14. rollers 13 and 17 feed the impressor belt 10 to nip roll 15 and bias the impressor belt against the nip roll such that it remains in contact with the nip roll. The biasing tension can be maintained with springs or belt tensioners (not shown) on idler rollers 13 or 17.

The gap between nip roll 15 and the surface of the flat substrate 14 will depend upon the speed of the flat substrate, the viscosity of the coating, which is also related to the temperature, and the pressure of the nip roll. Advantageously, the gap ranges from about 0.001 to about 0.08 mm, preferably from about 0.0025 to about 0.025 mm.

Uncured coating composition 23 is applied to the surface of the flat substrate or to the surface of

10

15

20

25

30

impressor belt 10 upstream from nip roll 15. The coating is uniformly applied, using a die coater 21 or similar device. The rate of application is controlled to supply adequate coating for a continuous lamination without causing undue build-up at the nip roll.

Downstream from the nip roll 15, the coating is uniformly sandwiched between the surface of the flat substrate and the impressor belt. At this point, the coating is irradiated with a polymerization-initiating radiation. A UV lamp or electron beam radiator 19 is preferably positioned above the moving laminated substrate, and radiation is directed into the coating. The intensity and duration of the radiation are controlled to cure the coating to a solid state, which maintains its surface texture after removal of the impressor belt 10. In the embodiment shown, the radiation device 19 directs radiation through the impressor belt. In such embodiment, the impressor belt is substantially transparent to the radiation. alternative embodiment (not shown), the radiation device 19 may be positioned below the flat substrate, with the radiation directed through the flat substrate into the coating. This embodiment may be used when the flat substrate is transparent to the radiation. In yet another embodiment (not shown), radiation devices may be positioned on each side of the flat substrate.

Following radiation curing of the coating, the impressor belt may be removed from the coating. In the embodiment shown in Figure 1, the impressor belt is removed using a stripper roll 16 and a take-up roll 12. The endless impressor belt 10 is then recycled to idler rollers 17 and 13 for reuse. Upon removal of impressor belt 10, the cured coating 24 is firmly adhered to the

10

15

20

25

30

flat substrate, and the surface texture of the impressor belt is faithfully transferred to the coating.

In the alternative embodiment shown in Figure 2, the impressor belt 10 is fed from feed roll 26 to idler roller 13. Feed roll 26 contains an adequate length of impressor belt 10 for a production run. Following cure, stripper roll 16 removes impressor belt 10 from the cured coating 24. The removed impressor belt can be stored on a take-up roll (not shown) for reuse or discard.

Yet another embodiment is shown in Figure 3. In this embodiment, impressor belt 10 is left on the cured coating 24 as a masking film. The impressor belt thus protects the coated surface during subsequent handling and transportation of the product, and can be removed by the user at the point of application.

Other embodiments will occur to those skilled in the art. For example, any of the embodiments shown in Figures 1, 2 and 3 can be adapted for simultaneous two-sided coatings. Such two-sided coating can be achieved by placing a laminar impressor apparatus on each side of the moving flat substrate.

The laminar impressor of this invention is well-suited to large-scale automated operations. Conveyer speeds ranging from about 5 ft./min. to about 500 ft./min., preferably from about 15 ft./min. to about 50 ft./min. may be used.

While the invention has been described in connection with certain preferred embodiments, numerous modifications and variations may be made without departing from the spirit and scope of the appended claims.

. پيس

10

15

20

25

30

Claims

1. A laminar impressor for the application of radiation-curable coatings to flat substrates, which comprises:

an impressor belt of non-adhering, flexible

5 film, said belt having a surface texture which is the
mirror image of the desired surface texture of the flat
substrate being coated;

means for conveying the flat substrate in a linear direction in the plane of its surface being coated;

a rotatable, cylindrical nip roll in closely spaced proximity to the moving surface of the flat substrate, the axis of rotation of the nip roll being substantially parallel to the surface of the flat substrate and substantially perpendicular to the direction of movement of the flat substrate, and the linear speed of the surface of the nip roll being substantially the same as the speed of movement of the flat substrate;

means for feeding the impressor belt between the nip roll and the surface of the flat substrate and biasing the impressor belt against the nip roll, such that it remains in contact with the nip roll and is closely spaced from the surface of the flat substrate; the feeding of said impressor belt being in the direction of and at substantially the same speed as the speed of movement of the flat substrate;

means for applying a controlled amount of a radiation-curable polymeric coating composition to the surface of the flat substrate or the surface of the impressor belt upstream from the nip roll, such that, downstream of the nip roll, the coating composition is sandwiched between the surface of the flat substrate and the impressor belt;

5

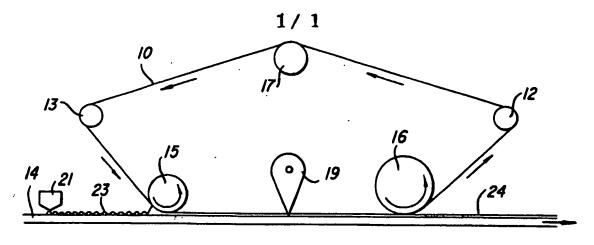
.

means for directing radiation to the radiationcurable polymeric coating composition downstream from the nip roll, thereby substantially curing the coating composition to a solid state while the impressor belt is still in contact with the coating composition.

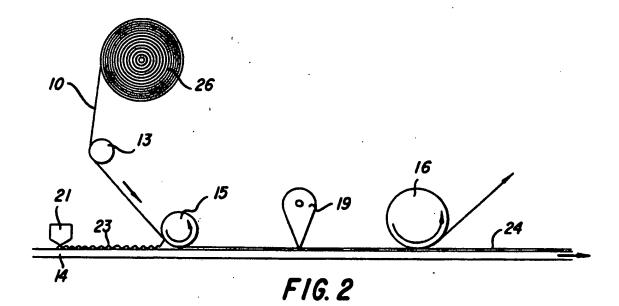
- 2. The laminar impressor of claim 1, wherein the impressor belt is made of a thermoplastic film.
- 3. The laminar impressor of claim 2, wherein the impressor belt is made of a polyester or polyolefin film.
- 4. The laminar impressor of claim 1, wherein the impressor belt is substantially transparent to polymerization-initiating radiation.
- 5. The laminar impressor of claim 4, wherein the impressor belt is substantially transparent to ultraviolet light.
- 6. The laminar impressor of claim 1, wherein the spacing between the nip roll and the surface of the flat substrate ranges from about 0.0010 to about 0.0800 mm.
- 7. The laminar impressor of claim 6, wherein the spacing between the nip roll and the surface of the flat substrate ranges from about 0.0025 to about 0.0250 mm.
- 8. The laminar impressor of claim 1, which further comprises means for removing the impressor belt after curing of the coating, thereby leaving an impression of the surface of the impressor belt on the surface of the radiation-cured coating.
- 9. The laminar impressor of claim 1, which further comprises a stripper roll, which removes the impressor belt from the cured coating.
- 10. The laminar impressor of claim 9, wherein the impressor belt is an endless belt which is recycled from the stripper roll to the nip roll.
- 11. The laminar impressor of claim 9, which further comprises a feed roll for feeding impressor belt to the

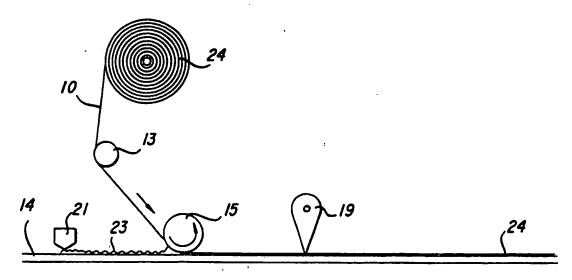
and wherein the impressor belt which has been removed from the cured coating is wound onto a take-up roll.

- 12. The laminar impressor of claim 1 wherein the impressor belt is left on the cured coating as a masking film.
- 13. A method for applying a radiation-curable coating to a flat substrate using a non-adhering, flexible impressor belt which has a surface texture which is the mirror image of the desired surface texture of the flat substrate, which comprises applying an uncured coating to the flat substrate or to the impressor belt, impressing the impressor belt to the uncured coating to sandwich the coating between the impressor belt and the surface of the flat substrate, irradiating the coating while the impressor belt is still in contact with the coating, and removing the impressor belt.
- 14. The method of claim 13, wherein the uncured coating is irradiated with a polymerizing amount of radiation through the impressor belt.
- 15. The method of claim 13, wherein the uncured coating is irradiated with a polymerizing amount of radiation through the flat substrate.
- 16. The method of claim 13, wherein the radiation is ultraviolet light.
- 17. The method of claim 13, wherein the radiation is electron beam radiation.
- 18. The method of claim 13, wherein the thickness of the coating ranges from about 1 $\,$ m to about 50 $\,$ m.
- 19. The method of claim 18, wherein the thickness of the coating ranges from about 4 $\,$ m to about 12 $\,$ m.



F/G. 1





F16.3

				IN	ITE	RN	ATI	ON	AL	. SE		CH				ī	CT,	/ប	s 8	39/0	2541
I. CLAS	SIFICATIO	N O	FSU	JECT	MA	TTER	t (if s	everal	clas	sifical	ion s	ymbol	3 ap	ply, ir	dicat	اله و	•		_		
	g to interna																	_			
	в 05															В	05	С	9/	/12	
II. FIELD	S SEARCE	HED									-										
						A	Ainims	ım Do	CUIT	entati	on Se	archa	٠,								
Classificat	on System									Clas	stfice	tion S	ymb	ols							
IPC ⁵			в)5 (Ξ,	B. 2	29	c													
,										r than						chec				_	
						<u>.</u>															
III. DOCI	JMENTS C	BNO	IDER	ED T	9 88	REL	EVAN	IT.													
Category *	Citati	on of	Docu	nent, '	" wit	h Indi	cation	, wher	e ep	propri	ete, o	f the r	elevi	ent pa	888 Ç4	12		Rel	even	t to Cla	lm No. 13
X	US,	7 fi 57 co	48 Marr gur ,59 lum nes	ch es ; c in 4	19 1, 1,	89, 2; umn lin 9;	col col 3,	ee Lum , 1 43	th n in -5	e a 2, es 2;	bsi lii 3-2 co.	tra nes 26; lum	3 n	1-4 5,					1	-19	
"Special categories of cited documents: 19 "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "V" document published prior to the international filing date but later than the priority date claimed "V" document published prior to the international filing date but later than the priority date claimed "V" document published after the international filing date or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application but claimed or priority date and not in conflict with the application of the claimed invention and priority decument of particular relevance; the claimed or priority date considered to involve an inventive step or priority date considered to involve an inventive step or priority date considered to inv									ation but lying the invention dered to invention when the ch docu-												
	EUROPE			יניאב	ਜਾਜ਼ਨ	ייטני) July 1						-	0	2	_		
						نب	•			l	1	C.D.	. Ā'(الا .د	U				フ		1

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

US 8902541 SA 31607

This amnex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as command in the European Patent Office EDP file on 26/01/90

The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patr me	Publication date			
US-A- 4810435	07-03-89	JP-A-	62 088139	22-04-87		
	** .					
	•					
		·				
,						
	•					
· · · · · · · · · · · · · · · · · · ·						
				•		
	·					
		÷				
				`· .		
•		•				

FORM POSTS

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82